

March 25, 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: Furusawa 62

U.S. APPLICATION NO.

(If known, see 37 CFR 1.5): Unknown

INTERNATIONAL APPLICATION NO.: PCT/JP00/03889 INTERNATIONAL FILING DATE: June 14, 2000

PRIORITY DATE CLAIMED: September 29, 1999

TITLE OF INVENTION: POLARIZED LIGHT COLOR FILTER AND VIDEO PROJECTOR COMPRISING THE SAME  
APPLICANT(S) FOR DO/EO/US: Shinichiro TAJIRI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment canceling claims with marked-up version of claims.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Amendment Transmittal
  - Amendment Before First Office Action
  - Marked-Up Version of Claim
  - Form PTO-1449 with copy of all documents listed thereon
  - Drawings (13 sheets)
  - Assignment Transmittal
  - Copy of cover page for WO 01/23919 A1
  - Acknowledgement Postal Card

17. [X] The following fees are submitted:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO .... \$1040.00  
International preliminary examination fee (37 CFR 1.482) not  
paid to USPTO but International Search Report prepared by  
the EPO or JPO ..... \$ 890.00

International preliminary examination fee (37 CFR 1.482) not  
paid to USPTO but international search fee (37 CFR 1.445(a)(2))  
paid to USPTO ..... \$ 740.00

International preliminary examination fee paid to USPTO (37  
CFR 1.482) but all claims did not satisfy provisions of PCT  
Article 33(1)-(4) ..... \$ 710.00

International preliminary examination fee paid to USPTO (37 CFR  
1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	22 - 20 =	2	X \$ 18.00	\$ 36.00
Ind. claims	1 - 3 =	0	X \$ 84.00	\$
MULTIPLE DEPENDENT CLAIMS (if applicable)				+ \$280.00
TOTAL OF ABOVE CALCULATIONS				= \$ 926.00

Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = \$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). + \$

TOTAL NATIONAL FEE = \$

Fee for recording assignment (37 CFR 1.21(h)). The assignment must be accompanied  
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00

TOTAL FEES ENCLOSED = \$ 966.00

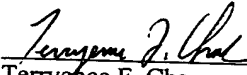
Amount to be refunded \$  
charged \$

- a. [X] A check in the amount of \$966.00 to cover the above fees is enclosed.  
b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate  
copy of this sheet is enclosed.  
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)  
or (b)) must be filed and granted to restore the application to pending status.

IN DUPLICATE

SEND ALL CORRESPONDENCE TO:  
FLYNN, THIEL, BOUTELL & TANIS, P.C.  
2026 Rambling Road  
Kalamazoo, Michigan 49008-1699

  
Terry F. Chapman  
Registration Number: 32 549

300.0900

10/089210

Express Mail Label No.: EV 040 167 794 US

Date of Mailing: March 25, 2002

Applicant(s) : Shinichiro TAJIRI  
For : POLARIZED LIGHT COLOR FILTER AND VIDEO  
PROJECTOR COMPRISING THE SAME

PCT International Application No.: PCT/JP00/03889

PCT International Filing Date: June 14, 2000

U.S. Application No.

(if known, see 37 CFR 1.5): Unknown

Atty. Docket No.: Furusawa 62

Box PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

EXPRESS MAILING CERTIFICATE

Sir:

I hereby certify that the attached paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

FLYNN, THIEL, BOUTELL & TANIS, P.C.

By: Mia Skipper

Date: March 25, 2002

Document(s) attached:

Form PTO-1390 with all enclosures listed thereon.

Telephone: (616) 381-1156

391.9912

10089210-032502

IN THE U.S. PATENT AND TRADEMARK OFFICE

March 25, 2002

Applicant(s): Shinichiro TAJIRI  
 Title: POLARIZED LIGHT COLOR FILTER AND VIDEO PROJECTOR  
 COMPRISING THE SAME

Serial No.: Unknown Group:  
 Confirmation No.: Unknown  
 Filed: Unknown Examiner:  
 International Application No.: PCT/JP00/03889  
 International Filing Date: June 14, 2000  
 Atty. Docket No.: Furusawa 62

Assistant Commissioner for Patents  
 Washington, DC 20231

Sir:

Herewith is an amendment in the above-identified application.

☐ Statement(s) re small entity status submitted previously.

☐ Statement(s) re small entity status enclosed.

☒ No additional filing fee is required.

☐ The additional filing fee has been calculated as shown below:

For	No. Filed	No. Extra	( ) LG Entity	RATE	( ) SM Entity	Fee
Basic Fee				\$740.00	\$370.00	\$0.00
Total Claims	(22 - 22 = 0)		x \$ 18.00		x \$ 9.00	0.00
Indep. Claims	( 1 - 3 = 0)		x \$ 84.00		x \$ 42.00	0.00
[ ] Multiple Dep. Claim			+ \$280.00		+ \$140.00	0.00
* * * TOTAL FILING FEE * * *						\$ 0.00

☐ A Check for \$ is enclosed to cover fees.

☒ Please credit any overpayment, or charge any additional filing fee or application processing fee required under 37 CFR 1.16 or 1.17 by this communication, to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

IN DUPLICATE

TFC\pcq

Encl: Listed above

Respectfully submitted,

  
 Terryence F. Chapman Reg. No. 32 549